

# PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

# NO FEAR ACT REPORT FY 2016

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## Privacy and Civil Liberties Oversight Board No FEAR Act Report Fiscal Year (FY) 2016

#### Section I. Summary of District Court Cases (FY 2013 to FY 2016)

1. The number of cases arising under each of the respective provisions of law covered by paragraphs (1) and (2) of section 201(a) in which discrimination on the part of the agency was alleged.

		TOTAL FILED:	0 cases	
	FY 13	FY 14	FY 15	FY 16
Title VII (race, color, religion, sex, national origin)	0	0	0	0
Age	0	0	0	0
Sex (Equal Pay Act)	0	0	0	0
Disability (Section 501 of the Rehabilitation Act of 1973)	0	0	0	0
Whistleblower protection laws, 5 U.S.C. §§ 2302(b)(1)-(9)	0	0	0	0

- 2. a. The status or disposition of cases described in paragraph (1). N/A b. Money reimbursed to the Judgment Fund. N/A
  - c. Reimbursement to the Judgment Fund for separately designated attorney's fees. N/A
- 3. The number of employees disciplined for discrimination, retaliation, harassment, or any other infraction of any provision of law referred to in paragraph (1).

		TOTAL NUMBER EM	PLOYEES DISCIPLINED	: 0			
	FY 13	FY 14	FY 15	FY 16			
FY Totals	0	0	0	0			

4. The final year-end data posted under section 301(c)(1)(B) for such fiscal year (without regard to section 301(c)(2)).

See Attachment A.

- 5. A detailed description of the policy implemented by that agency relating to appropriate disciplinary actions against a Federal employee who
  - *i.* discriminated against any individual in violation of any of the laws cited under section 201(a)(1) or (2), or
  - ii. committed another prohibited personnel practice that was revealed in the investigation of a complaint alleging a violation of any of the laws cited under section 201(a)(1) or (2), and with respect to each of such laws, the number of employees who are disciplined in accordance with such policy and the specific nature of the disciplinary action taken.

The Privacy and Civil Liberties Oversight Board's policy finalized its EEO policy, a supplemental EEO Question and Answer guidance document, and its Anti-Harassment policy in October 2016. The policies and guidance documents prohibit discrimination and harassment based on a protected class or whistleblower activity, as well as prohibits retaliation for exercising rights on either basis. In January 2017, the Privacy and Civil Liberties Oversight Board completed the Office of Special Counsel's 2302(c) certification program, when ensures that we prevent prohibited personnel practices, comply with applicable civil service laws against these practices, and inform employees about these practices.

### Section II. Analysis of Administrative Complaints\*

- 7. An analysis of the information described under paragraphs (1) through (6) (in conjunction with data provided to the Equal Employment Opportunity Commission in compliance with part 1614 of title 29 of the Code of Federal Regulations) including:
  - a. an examination of trends;
  - b. causal analysis;
  - c. practical knowledge gained through experience; and
  - d. any actions planned or taken to improve complaint or civil rights programs of the agency.

There have been no administrative complaints to analyze.

## Attachment A

- Administrative Equal Employment Opportunity (EEO) Complaint Data (FY 2013-FY 2016)
- No FEAR Act Training Plan

## Equal Employment Opportunity Data Posted Pursuant to the No FEAR Act:

## **Privacy and Civil Liberties Oversight Board**

### FY 2013- FY 2016

	Comparative Data										
	Previous	2016 Thru									
Complaint Activity 2013		2014	2014 2015								
Number of Complaints Filed	0	0	0	0							
Number of Complainants	0	0	0	0							
Repeat Filers	0	0	0	0							

		<b>Comparative Data</b>							
<b>Complaints by Basis</b>	Previ	ous Fisca	- 2016						
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.		2013	2014	2015	- 2010 Thru 09-30				
Race		0	0	0	0				
Color		0	0	0	0				
Religion		0	0	0	0				
Reprisal		0	0	0	0				
Sex		0	0	0	0				
PDA		0	0	0	0				
National Origin		0	0	0	0				
Equal Pay Act		0	0	0	0				
Age		0	0	0	0				
Disability		0	0	0	0				
GINA		0	0	0	0				
Non-EEO		0	0	0	0				

		Compara	ative Dat	a			
<b>Complaints by Issue</b>	Previous Fis	cal Year I	al Year Data				
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.	2013	2014	2015	2016 Thru 09-30			
Appointment/Hire	0	0	0	0			
Assignment of Duties	0	0	0	0			
Awards	0	0	0	0			
Conversion to Full-time	0	0	0	0			
Disciplinary Action	11		1				
Demotion	0	0	0	0			
Reprimand	0	0	0	0			
Suspension	0	0	0	0			
Removal	0	0	0	0			
Other	0	0	0	0			
Duty Hours	0	0	0	0			
Evaluation Appraisal	0	0	0	0			
Examination/Test	0	0	0	0			
Harassment							
Non-Sexual	0	0	0	0			
Sexual	0	0	0	0			
Medical Examination	0	0	0	0			
Pay (Including Overtime)	0	0	0	0			
Promotion/Non-Selection	0	0	0	0			
Reassignment							
Denied	0	0	0	0			
Directed	0	0	0	0			
Reasonable Accommodation	0	0	0	0			
Reinstatement	0	0	0	0			

			Compara	ntive Dat	a
<b>Complaints by Issue</b>	Prev	ious Fisca			
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.		2013	2014	2015	2016 Thru 09-30
Retirement		0	0	0	0
Termination		0	0	0	0
Terms/Conditions of Employment		0	0	0	0
Time and Attendance		0	0	0	0
Training		0	0	0	0
Other		0	0	0	0

			Comparat	ive Data						
		Previous Fiscal Year Data								
<b>Processing Time</b>		2013	2014	2015	Thru 09-30					
	Com	plaints pending du	ring fiscal year							
Average number of days in investigation		0	0	0	0					
Average number of days in final action		0	0	0	0					
	Com	plaint pending dur	ing fiscal year whe	ere hearing was rec	juested					
Average number of days in investigation		0	0	0	0					
Average number of days in final action		0	0	0	0					
	Com	plaint pending dur	ing fiscal year whe	ere hearing was no	t requested					
Average number of days in investigation		0	0	0	0					
Average number of days in final action		0	0	0	0					

			Compar	ative Data						
	P	Previous Fiscal Year Data								
Complaints Dismissed by Agency		2013	2014	2015	Thru 09-30					
Total Complaints Dismissed by Agency		0	0	0	0					
Average days pending prior to dismissal		0	0	0	0					
	Complai	nts Withdr	awn by Co	mplainants						

			Compara	ative Data					
	Р	Previous Fiscal Year Data							
Complaints Dismissed by Agency		2013	2014	2015	Thru 09-30				
Total Complaints Withdrawn by Complainants		0	0	0	0				

	Comparative Data   Previous Fiscal Year Data 2016   The The													
		2013 2014 2015		)15	- Thru 09-30									
Total Final Agency Actions Finding Discrimination						#		%	#	%	#	%	#	%
Total Number Findings						0		0	0	0	0	0	0	0
Without Hearing						0		0	0	0	0	0	0	0
With Hearing						0		0	0	0	0	0	0	0

						Con	npa	rativ	e D	ata		
Findings of Discrimination Rendered by Basis	Previous Fiscal Year Data										2016	
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints and					20	)13	20	)14	20	)15		hru -30
findings.					#	%	#	%	#	%	#	%
Total Number Findings					0		0		0	0	0	
Race					0	0	0	0	0	0	0	0
Color					0	0	0	0	0	0	0	0
Religion					0	0	0	0	0	0	0	0
Reprisal					0	0	0	0	0	0	0	0
Sex					0	0	0	0	0	0	0	0
PDA					0	0	0	0	0	0	0	0
National Origin					0	0	0	0	0	0	0	0
Equal Pay Act					0	0	0	0	0	0	0	0
Age					0	0	0	0	0	0	0	0
Disability					0	0	0	0	0	0	0	0
GINA					0	0	0	0	0	0	0	0

							Con	npa	rativ	e D	ata			
Findings of Discrimination Rendered by Basis			P	re	vio	ous Fiscal Year Data							2016	
Note: Complaints can be filed alleging multiple bases.	Ì					2	013	20	014	20	)15		hru )-30	
<i>The sum of the bases may not equal total complaints and findings.</i>						#	%	#	%	#	%	#	%	
Non-EEO						0	0	0	0	0	0	0	0	
		1				1	1	1	1	1	1	1	1	
Findings After Hearing						0		0		0		0		
Race						0	0	0	0	0	0		0	
Color	İ					0	0	0	0	0	0	0	0	
Religion	İ					0	0	0	0	0	0	0	0	
Reprisal	İ					0	0	0	0	0	0	0	0	
Sex	İ					0	0	0	0	0	0	0	0	
PDA	İ					0	0	0	0	0	0	0	0	
National Origin						0	0	0	0	0	0	0	0	
Equal Pay Act	İ					0	0	0	0	0	0	0	0	
Age						0	0	0	0	0	0	0	0	
Disability						0	0	0	0	0	0	0	0	
GINA						0	0	0	0	0	0	0	0	
Non-EEO						0	0	0	0	0	0	0	0	
Findings Without Hearing						0		0		0		0		
Race						0	0	0	0	0	0	0	0	
Color						0	0	0	0	0	0	0	0	
Religion						0	0	0	0	0	0	0	0	
Reprisal						0	0	0	0	0	0	0	0	
Sex						0	0	0	0	0	0	0	0	
PDA						0	0	0	0	0	0	0	0	
National Origin						0	0	0	0	0	0	0	0	
Equal Pay Act	İ					0	0	0	0	0	0	0	0	
Age	İ					0	0	0	0	0	0	0	0	
Disability	T					0	0	0	0	0	0	0	0	

	Comparative Data							ata					
Findings of Discrimination Rendered by Basis	Previous Fiscal Year Data						2016						
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints and						2	013	20	)14	20	)15		hru 9-30
findings.				Ì		#	%	#	%	#	%	#	%
GINA						0	0	0	0	0	0	0	0
Non-EEO						0	0	0	0	0	0	0	0

						C	Comj	para	ative	Dat	a		
		Pre	vio	ious Fiscal Year Data									016
						20	13	20	)14	)15		hru )-30	
Findings of Discrimination Rendered by Issue					#	ŧ	%	#	%	#	%	#	%
Total Number Findings					(	)		0		0	1	0	
Appointment/Hire					(	)	0	0	0	0	0	0	0
Assignment of Duties					(	)	0	0	0	0	0	0	0
Awards					(	)	0	0	0	0	0	0	0
Conversion to Full-time					(	)	0	0	0	0	0	0	0
	D	iscipli	nary		tion								
Demotion					(	)	0	0	0	0	0	0	0
Reprimand					(	)	0	0	0	0	0	0	0
Suspension					(	)	0	0	0	0	0	0	0
Removal					(	)	0	0	0	0	0	0	0
Other					(	)	0	0	0	0	0	0	0
Duty Hours					(	)	0	0	0	0	0	0	0
Evaluation Appraisal					(	)	0	0	0	0	0	0	0
Examination/Test					(	)	0	0	0	0	0	0	0
	H	arassr	nent	1				1	1	1	1	1	1
Non-Sexual					(	)	0	0	0	0	0	0	0
Sexual					(	)	0	0	0	0	0	0	0

				Comparative Data										
		]	Pre	vio	us F	Fiscal Year Data								016
							2013		20	2014 2015		)15		hru )-30
Findings of Discrimination Rendered by Issue							#	%	#	%	#	%	#	%
Medical Examination							0	0	0	0	0	0	0	0
Pay (Including Overtime)							0	0	0	0	0	0	0	0
Promotion/Non-Selection							0	0	0	0	0	0	0	0
	R	ea	ssig	nme	nt									
Denied							0	0	0	0	0	0	0	0
Directed							0	0	0	0	0	0	0	0
Reasonable Accommodation							0	0	0	0	0	0	0	0
Reinstatement							0	0	0	0	0	0	0	0
Retirement							0	0	0	0	0	0	0	0
Termination							0	0	0	0	0	0	0	0
Terms/Conditions of Employment							0	0	0	0			0	0
Time and Attendance							0	0	0	0			0	0
Training							0	0	0	0			0	0
Other							0	0	0	0			0	0
Findings After Hearing							0		0				0	
Appointment/Hire							0	0	0	0	0	0	0	0
Assignment of Duties							0	0	0	0	0	0	0	0
Awards							0	0	0	0	0	0	0	0
Conversion to Full-time							0	0	0	0	0	0	0	0
	D	oisc	cipli	nary	Ac	tion								
Demotion							0	0	0	0	0	0	0	0
Reprimand							0	0	0	0	0	0	0	0
Suspension							0	0	0	0	0	0	0	0
Removal							0	0	0	0	0	0	0	0
Other							0	0	0	0	0	0	0	0
Duty Hours							0	0	0	0	0	0	0	0

				Comparative Data vious Fiscal Year Data 20								
		P	revio	us F	'iscal '	Year	Data				016	
					2	013	013 2014		4 2015		Thru 09-30	
Findings of Discrimination Rendered by Issue					#	%	#	%	#	%	#	%
Evaluation Appraisal		1			0	0	0	0	0	0	0	0
Examination/Test					0	0	0	0	0	0	0	0
	H	aras	sment		1 1	1	1	1	1	1	1	1
Non-Sexual					0	0	0	0	0	0	0	0
Sexual					0	0	0	0	0	0	0	0
Medical Examination					0	0	0	0	0	0	0	0
Pay (Including Overtime)					0	0	0	0	0	0	0	0
Promotion/Non-Selection					0	0	0	0	0	0	0	0
	R	eass	ignme	ent								
Denied					0	0	0	0	0	0	0	0
Directed					0	0	0	0	0	0	0	0
Reasonable Accommodation					0	0	0	0	0	0	0	0
Reinstatement					0	0	0	0	0	0	0	0
Retirement					0	0	0	0	0	0	0	0
Termination					0	0	0	0	0	0	0	0
Terms/Conditions of Employment					0	0	0	0	0	0	0	0
Time and Attendance					0	0	0	0	0	0	0	0
Training					0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0
Findings Without Hearing		Т	_		0	_	0		#		0	
Appointment/Hire					0	0	0	0	0	0	0	0
Assignment of Duties					0	0	0	0	0	0	0	0
Awards					0	0	0	0	0	0	0	0
Conversion to Full-time					0	0	0	0	0	0	0	0
	D	iscij	olinar	y Ac	tion	1			1	1	1	
Demotion					0	0	0	0	0	0	0	0

			Comparative Data									
		Pre	revious Fiscal Year Data									)16
					2	2013		)14	2015			hru )-30
Findings of Discrimination Rendered by Issue					#	%	#	%	#	%	#	%
Reprimand					0	0	0	0	0	0	0	0
Suspension					0	0	0	0	0	0	0	0
Removal					0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0
Duty Hours					0	0	0	0	0	0	0	0
Evaluation Appraisal					0	0	0	0	0	0	0	0
Examination/Test					0	0	0	0	0	0	0	0
	Ha	Harassment								<u>.</u>		
Non-Sexual					0	0	0	0	0	0	0	0
Sexual					0	0	0	0	0	0	0	0
Medical Examination					0	0	0	0	0	0	0	0
Pay (Including Overtime)					0	0	0	0	0	0	0	0
Promotion/Non-Selection					0	0	0	0	0	0	0	0
	Re	assig	nmer	nt								
Denied					0	0	0	0	0	0	0	0
Directed					0	0	0	0	0	0	0	0
Reasonable Accommodation					0	0	0	0	0	0	0	0
Reinstatement					0	0	0	0	0	0	0	0
Retirement					0	0	0	0	0	0	0	0
Termination					0	0	0	0	0	0	0	0
Terms/Conditions of Employment					0	0	0	0	0	0	0	0
Time and Attendance					0	0	0	0	0	0	0	0
Training					0	0	0	0	0	0	0	0
Other					0	0	0	0	0	0	0	0

				C	omparat	tive Data	a
		Pr	evio	us Fiscal	Year Da	ata	2016
Pending Complaints Filed in Previous Fiscal Years by Status				2013	2014	2015	Thru 09- 30
Total complaints from previous Fiscal Years				0	0	0	0
Total Complainants				0	0	0	0
	Nu	mbe	er co	omplaint	s pendin	g	
Investigation				0	0	0	0
ROI issued, pending Complainant's action				0	0	0	0
Hearing				0	0	0	0
Final Agency Action				0	0	0	0

			C	omparat	tive Dat	a
	Pro	evi	ious Fisc	al Year	Data	2016
<b>Complaint Investigations</b>			2013	2014	2015	Thru 09-30
Pending Complaints Where Investigations Exceed Required Time Frames			0	0	0	0

### Privacy and Civil Liberties Oversight Board No FEAR Act Training Plan

The Privacy and Civil Liberties Oversight Board Department administers No FEAR Act training to all new employees as part of the onboarding process. All PCLOB employees received biennial No FEAR Act training between August and September 2016.

Delivery of Training	Training Schedule	Training Completion Date
Online course delivered by the Department of Treasury. The PCLOB maintains training records for each employee in the agency's files.	Upon onboarding	Percentage of employees who completed No FEAR training upon onboarding: 100% 100% of PCLOB employees completed biennial training No FEAR Act training by September 30, 2016. The next training will occur in FY 2018.